

1753  
PATENT

Case Docket No. TOYAM76.001AUS

Date: December 11, 2001 #3 1231-11

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Wang Guofang, et al.  
Appl. No. : 09/965,589  
Filed : September 26, 2001  
For : CYCLIC TERTIARY AMINE  
COMPOUND AND  
ORGANIC  
ELECTROLUMINESCENT  
DEVICE CONTAINING THE  
COMPOUND  
Examiner : Unknown  
Group Art Unit : 1756

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

December 11, 2001

(Date)

  
Katsuhiro Arai, Reg. No. 43,315

RECEIVED

DEC 20 2001

TC 1700

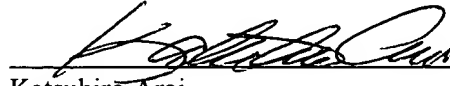
TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with nineteen (19) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Katsuhiro Arai  
Registration No. 43,315  
Agent of Record



10YAM76.001AUS

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Wang Guofang, et al. ) Group Art Unit 1756  
App. No. : 09/965,589 )  
Filed : September 26, 2001 )  
For : CYCLIC TERTIARY AMINE )  
COMPOUND AND )  
ORGANIC )  
ELECTROLUMINESCENT )  
DEVICE CONTAINING THE )  
COMPOUND )  
Examiner : Unknown )

RECEIVED

DEC 20 2001

TC 1700

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed within three months of the filing date of this application or upon filing if this is a CPA or RCE, and no fee is required in accordance with 37 C.F.R. § 1.97(b)(1), (b)(2), or (b)(4).

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: December 11, 2001

By: Katsuhiro Arai  
Katsuhiro Arai  
Registration No. 43,315  
Agent of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(949) 760-0404